

Statement Showing Shareholding Pattern

| Name of the Company: | | Nam Securities Limited | | | | |
|-----------------------------|--|---------------------------------------|-------------------------------|---|---|-----------------------------------|
| Script Code: | | 538395 | | | | |
| Quarter ended: | | 30TH September,2014 | | | | |
| Category Code | Category of Shareholder | Number of Shareholder | Total number of Shares | Number of Shares held in dematerialized Form | Total shareholding as a percentage of total number of shares | |
| | | | | | As a percentage of (A+B) | As a percentage of (A+B+C) |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) |
| (A) | Shareholding of Promoter and Promoter Group² | | | | | |
| (1) | Indian | | | | | |
| (a) | Individual/Hindu Undivided Family | 5 | 2271500 | 2271500 | 74.47% | 74.47% |
| (b) | Central Government/State Government | Nil | Nil | Nil | Nil | Nil |
| (c) | Bodies Corporate | Nil | Nil | Nil | Nil | Nil |
| (d) | Financial Institutions/ Banks | Nil | Nil | Nil | Nil | Nil |
| (e) | Any Other (specify) | | | | | |
| | Sub-Total (A)(1) | 5 | 2271500 | 2271500 | 74.47% | 74.47% |
| (2) | Foreign | | | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | NIL | Nil | Nil | Nil | Nil |
| (b) | Bodies Corporate | Nil | Nil | Nil | Nil | Nil |
| (c) | Institutions | Nil | Nil | Nil | Nil | Nil |
| (d) | Qualified Foreign Investor | Nil | Nil | Nil | Nil | Nil |
| (e) | Any other (specify) | Nil | Nil | Nil | Nil | Nil |
| | Sub Total (A)(2) | Nil | Nil | NIL | Nil | Nil |
| | Total Shareholding of Promoter & Promoter Group (A)=(A)(1)+(A)(2) | 5 | 2271500 | 2271500 | 74.47% | 74.47% |
| (B) | Public Shareholdings | | | | | |
| (1) | Institutions | | | | | |
| (a) | Mutual Funds/UTI | Nil | Nil | Nil | Nil | Nil |
| (b) | Financial Institutions/ Banks | Nil | Nil | Nil | Nil | Nil |
| (c) | Central Government/state Government(s) | Nil | Nil | Nil | Nil | Nil |
| (d) | Venture Capital Funds | Nil | Nil | Nil | Nil | Nil |
| (e) | Insurance Companies | Nil | Nil | Nil | Nil | Nil |
| (f) | Foreign Institutional Investors | Nil | Nil | Nil | Nil | Nil |
| (g) | Foreign Venture Capital Investors | Nil | Nil | Nil | Nil | Nil |
| (h) | Qualified foreign Investor | Nil | Nil | Nil | Nil | Nil |
| (i) | Any Other (specify) | Nil | Nil | Nil | Nil | Nil |
| | Sub Total (B)(1) | Nil | Nil | Nil | Nil | Nil |
| (2) | Non-Institutions | | | | | |
| (a) | Bodies Corporate | 11 | 12155 | 12155 | 0.40% | 0.40% |
| (b) | Individuals | 591 | 515490 | 179390 | 16.90% | 16.90% |
| | i. Individuals shareholders holding nominal share | | | | | |

| | | | | | | |
|-----|---|-----|---------|---------|--------|--------|
| | ii. capital upto Rs. 1 lakh Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh | 4 | 121300 | 121300 | 3.98% | 3.98% |
| (c) | Qualified Foreign Investor | Nil | Nil | Nil | Nil | Nil |
| (d) | Any other (specify) | | | | | |
| | NRI | 1 | 205 | 205 | 0.01% | 0.01% |
| | Clearing Members | 2 | 550 | 550 | 0.02% | 0.02% |
| | HUF | 12 | 128900 | 128900 | 4.23% | 4.23% |
| | Sub-Total (B)(2) | 620 | 778600 | 442500 | 25.53% | 25.53% |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) | 620 | 778600 | 442500 | 25.53% | 25.53% |
| | Total (A)+(B) | 625 | 3050100 | 2714000 | 100% | 100% |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | |
| 1. | Promoter and Promoter Group | Nil | Nil | Nil | Nil | Nil |
| 2. | Public | Nil | Nil | Nil | N.A. | Nil |
| | Grand Total (A)+(B)+(C) | 625 | 3050100 | 2714000 | N.A. | 100% |

(1)(b) Statement showing shareholding of persons belonging to the category “promoter and promoter group”

| Sr. No. | Name of the shareholder | No. of shares | Share as a % of total number of share (i.e. GRAND TOTAL (A)+(B)+(C) indicated in Statement at para (1)(a) above) |
|---------|---------------------------|---------------|--|
| 1. | Ashwani Goyal | 1221000 | 40.03% |
| 2. | Kiran Goyal | 500 | 00.02% |
| 3. | Divya Goyal | 222000 | 7.27% |
| 4. | Divyanshu Goyal | 395000 | 12.95% |
| 5. | Ashwani Goyal & Sons(HUF) | 433000 | 14.20% |
| 6. | Total | 2271500 | 74.47% |

(1)(c) Statement showing shareholding of persons belonging to category “public” & holding more than 1% of total no. of share

| Pr. No. | Name of the shareholder | No. of shares | Share as a % of total number of share (i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above) |
|---------|-------------------------|---------------|--|
| 1. | Vinit Gupta | 56800 | 1.86 |
| 2. | Shashi Gupta | 48100 | 1.58 |
| 3. | Aarti Gupta | 44700 | 1.47 |
| | Total | 149600 | 4.90 |

(1)(d) Statement showing detail of locked-in shares

| Sr. No. | Name of the shareholder | No. of locked-in shares | Locked-in shares as a % of total number of shares (i.e. GRAND TOTAL (A)+(B)+(C) indicated in Statement at para (1)(a) above) |
|---------|-------------------------|-------------------------|---|
| 1. | Nil | Nil | Nil |
| | Total | Nil | Nil |

(1)(e) Statement showing detail of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs), GDRs, SDRs, etc.) | No. of outstanding DRs | No. of share underlying outstanding DRs | Shares underlying outstanding DRs as a % of total number of shares (i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above) |
|----------------|---|-------------------------------|--|---|
| 1. | Nil | Nil | Nil | Nil |
| | Total | Nil | Nil | Nil |

(II)(b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of total no. of shares

| Sr. No. | Name of DR holder | Type of outstanding DR(ADRs, GDR/SDRsetc. | No. of share underlying outstanding DRs | Shares underlying outstanding DRs as a % of total number of shares (i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above) |
|----------------|--------------------------|--|--|---|
| 1. | Nil | Nil | Nil | Nil |
| | Total | Nil | Nil | Nil |

**Place: Gurgaon
Dated: 10.10.2014**

For: Nam Securities Ltd.

**Sd/-
Director**