

# NAM SECURITIES LTD.

MEMBER : NSE (CM & FO & SX Segments)  
F & O Clearing, BSE, MCX, DSE DP : NSDL

Corp. Office : 213 Arunachal, 19, Barakhamba Road,  
New Delhi- 110001, Phones: 23731122, 23731144  
FAX : 23730810 E-mail : nammf@yahoo.com

Date: 05.10.2019

TO,  
The Manager,  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400001

**Scrip Code: 538395**

Dear Sir,

**Subject: Revised Voting Results of the 25<sup>th</sup> Annual General Meeting ("AGM") of Nam Securities Limited.**

With respect to the captioned subject and email received on October 05, 2019, please find enclosed herewith Revised Voting Results anlog with Scrutinizer Report. Further we wish to inform you that inadvertently in Voting Result in PDF Report dated October 01, 2019 there was typography error in the number of votes in favor and total number of vote in Favor in Poll in Public Non-Institution category. Hence, revised report is enclosed herewith for your references, we request you to kindly take the same on your records.

Thanking You,  
For Nam Securities Limited

  
Ashwani Goyal  
Director  
(DIN: 00502989)



**Annexure - 1**

<b>VOTING RESULTS</b>	
AGM	30.09.2019
Record Date	23.09.2019
Total Number of Shareholders as on Record Date	564
No. of Shareholders present in the meeting either in person or through proxy	
a) Promoters and Promoter group	5
b) Public	31
No. of Shareholders attended the meeting through video conferencing	
a) Promoters and Promoter group	-
b) Public	-
No. of Resolution passed in the meeting	5
Disclosure of notes on voting results	



**Resolution(1)**

Resolution Required: (Ordinary/Special)				Ordinary				
Whether promoter/promoter group are Interested in the agenda /resolution?				No				
Description of Resolution Considered				To Receive, Consider and adopt the audited Financial Statements of the Company for the Financial Year ended 31 <sup>st</sup> March, 2019, and the Report of the board of Directors and Auditors thereon.				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)*100	(7)=[(5)/(2)*100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.0023	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	2603474	85.357	2603474	0	100	0
Whether Resolution is Pass or Not.							Yes	
Disclosure of notes on Resolution								



**Resolution(2)**

<b>Resolution Required: (Ordinary/Special)</b>				Ordinary				
<b>Whether promoter/promoter group are Interested in the agenda /resolution?</b>				Yes				
<b>Description of Resolution Considered</b>				To appoint a director in place of Ms. Divya Goyal (DIN: 01995354) who retires by rotation and being eligible, has offered herself for re-appointment.				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)*100	(7)=[(5)/(2)*100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	0	0	0	0	0
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.0023	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
<b>Total</b>		<b>3050100</b>	<b>331974</b>	<b>10.884</b>	<b>331974</b>	<b>0</b>	<b>100</b>	<b>0</b>
<b>Whether Resolution is Pass or Not.</b>							Yes	
<b>Disclosure of notes on Resolution</b>								





**Resolution(4)**

Resolution Required: (Ordinary/Special)				Special				
Whether promoter/promoter group are Interested in the agenda /resolution?				No				
Description of Resolution Considered				Re-appointment of Mr. Ravi Berry (DIN:00468594) as a Non-Executive Independent Director of the Company for a second term of 5 (five) consecutive years w.e.f 30.09.2019				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)* 100	(7)=[(5)/(2) *100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.002	18	0	100	0
	Poll		325956	41.864	325956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	325974	41.867	325974	0	100
Total		3050100	2597474	85.160	2597474	0	100	0
Whether Resolution is Pass or Not.							Yes	



**Resolution(5)**

Resolution Required: (Ordinary/Special)				Special				
Whether promoter/promoter group are Interested in the agenda /resolution?				Yes				
Description of Resolution Considered				Re-appointment of Ms. Rekha Cauhan (DIN: 02783776) as a Non – Executive Independent Director of the Company for a second term of 5 (office) consecutive years w.e.f. 30.09.2019.				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.002	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	2603474	85.357	2603474	0	100	0
Whether Resolution is Pass or Not.							Yes	



**Mudit Gupta**

Enrollment No. D/2537/2011

DHBA: Membership No. : 10292/2012

Advocate, Delhi High Court

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C-5, KALANDI COLONY

New Delhi - 110006

**Date: October 01, 2019**

**FORM NO. MGT 13**

**CONSOLIDATED REPORT OF THE SCRUTINIZER**

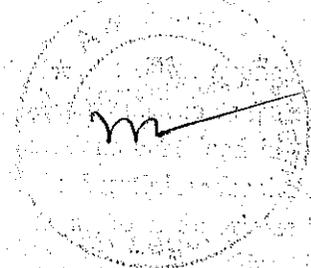
(Pursuant to Section 108 of the Companies Act, 2013 and Rule 20 of the Companies  
(Management and Administrative) Rules, 2014 as amended from time to time)

To,

The Chairman of 25<sup>th</sup> Annual General Meeting of the Members of **Nam Securities Limited** (the Company) held on Monday, September 30, 2019 at 12:15 P.M. at Kiran Farms, W-10D, Western Avenue, Sainik Farms, New Delhi -11062.

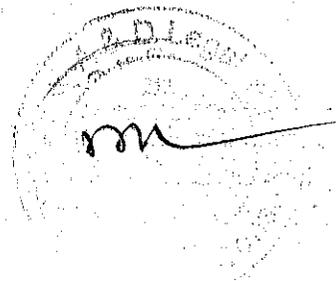
Dear Sir,

1. I, **Mudit Gupta**, Advocate, Proprietor of M/s. Mudit Gupta & Co., New Delhi has been appointed by the board of Directors of **Nam Securities Limited** ("the Company") as the Scrutinizer for the purpose of Scrutinizing e-voting process and poll process in a fair and transparent manner and ascertaining the requisite majority on voting carried out as per the provisions of the Companies Act, 2103 read with Rule 20 of the (Management and Administration) Rules, 2014 in respect of the Resolutions passed at the 25<sup>th</sup> Annual General Meeting (AGM) of the Members of the Company, held on Monday, September 30, 2019.
2. It is the responsibility of the Management of the Company to ensure due compliance of the applicable provisions of the Companies Act, 2013 and Rules made there under and also the requirements thereof relating to voting through electronic means and Polling Process on the resolutions contained in the notice of 25<sup>th</sup> Annual General Meeting of the Members of the Company. As the Scrutinizer for the e-voting process and poll process, my role and responsibility are limited to make a Scrutinizer's Consolidated Report of the votes cast "in favour" or "against" in respect of the Resolutions contained in the Notice of 25<sup>th</sup> AGM based on reports generated from the e-voting system provided by National Securities Depository Limited (NSDL) the authorized agency to provide Remote e-voting facilities, engaged by the Company and from the Polling Process conducted at the 25<sup>th</sup> AGM.
3. Further to above, I submit my Report as under:-
  - i. The Remote e-voting period commenced from Friday, September 27, 2019 (10:00 A.M.) to Sunday, September 30, 2019 (05:00 P.M.)
  - ii. The Members of the Company as on the "cut-off" date i.e. Monday, September 23, 2019 were entitled to vote on the Resolutions (Item No. 1 to 5 as set out in the Notice of the 25<sup>th</sup> Annual General Meeting of the Company).



- iii. After the time fixed for closing of the poll by the chairman, 1(one) ballot box kept for polling was locked in my presence with due identification marks placed by me.
- iv. The locked ballot box was subsequently opened in my presence and poll papers were diligently scrutinized. The poll papers were reconciled with the records maintained by the Company/Registrar and Transfer Agents of the Company and the authorizations/proxies lodged with the Company.
- v. The poll papers, which were incomplete and/or which were otherwise found defective have been treated as invalid and kept separately.
- vi. Thereafter, the electronic votes cast through remote e-voting were unblocked on Monday, September 30, 2019 after the AGM was over in the presence of 2(Two) witnesses Mr. Satpal Singh and Mr. Pankaj Kumar who are not in the employment of the Company.
- vii. Then, the details containing, inter alia, list of Equity Shareholders, who voted through Remote e-voting "for" and/or "against" each of the resolutions that were put to vote, were generated from the website of NSDL i.e. <http://www.evoting.nsdl.com> as well as Companies website i.e. [www.namsecurities.in](http://www.namsecurities.in)
- viii. The Register to record the assent or dissent received has been maintained electronically. It contained the particulars of name, address, folio number or client ID of the shareholders, number of shares held by them, nominal value of such shares. There were no shares with differential voting rights in the Company; hence there is no requirement of maintain of the list of shares with differential voting rights.

**The Consolidated result of the voting (Remote e-voting and Poll Process) is given in attachment hereto marked as 'Annexure'**



2-DIGITALLY SIGNED  
The Registrar of Companies

**Resolutions:**

**ITEM NO. 1 To Receive, Consider and adopt the audited Financial Statements of the Company for the Financial Year ended 31<sup>st</sup> March, 2019, and the Report of the board of Directors and Auditors thereon.**

E-voting				Poll				Total			
Assent		Dissent		Assent		Dissent		Assent		Dissent	
Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%
18	100	0	0	2603456	100	0	0	2603474	100	0	0
Invalid Votes:		0		Invalid Votes:		0		Invalid Votes		0	
Results : Resolution passed with requisite majority											

Details of poll & remote e-voting are given in Annexure- A.

**ITEM NO. 2 To appoint a director in place of Ms. Divya Goval (DIN: 01995354) who retires by rotation and being eligible, has offered herself for re-appointment.**

E-voting				Poll				Total			
Assent		Dissent		Assent		Dissent		Assent		Dissent	
Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%
18	100	0	0	331956	100	0	0	331974	100	0	0
Invalid Votes:		0		Invalid Votes:		0		Invalid Votes		0	
Results : Resolution passed with requisite majority											

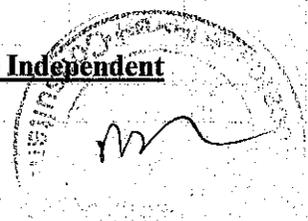
Details of poll & remote e-voting are given in Annexure- B.

**ITEM NO. 3 Appointment/ Re-appointment of Ms. Kiran Goval (DIN: 00503357) as a Managing Director of the Company for term of 5 (Five) year with effect from 30.09.2019.**

E-voting				Poll				Total			
Assent		Dissent		Assent		Dissent		Assent		Dissent	
Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%
18	100	0	0	331956	100	0	0	331974	100	0	0
Invalid Votes:		0		Invalid Votes:		0		Invalid Votes		0	
Results : Resolution passed with requisite majority											

Details of poll & remote e-voting are given in Annexure- C.

**ITEM NO. 4 Re-appointment of Mr. Ravi Berry (DIN: 00468594) as Non-Executive Independent**



**Director of the Company for second term for 5 (five) consecutive years w.e.f 30.09.2019**

Evoting				Poll				Total			
Assent		Dissent		Assent		Dissent		Assent		Dissent	
Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%
18	100	0	0	2597456	100	0	0	2597474	100	0	0
Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0	
Results : Resolution is not passed											

Details of poll & remote e-voting are given in Annexure- D.

**ITEM NO. 5 Re-appointment of Ms. Rekha Chauhan (DIN: 02783776) as a Non-Executive Independent Director of the Company for a second term of 5 (five) consecutive years w.e.f. 30.09.2019**

E-voting				Poll				Total			
Assent		Dissent		Assent		Dissent		Assent		Dissent	
Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%	Total Votes	%
18	100	0	0	2603456	100	0	0	2603474	100	0	0
Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0		Invalid Votes: 0	
Results : Resolution is not passed											

Details of poll & remote e-voting are given in Annexure- E.

I hand over the results and related papers and records for the safe custody to the Director of the Company.  
The Chairman on the meeting may accordingly declare the results.

Thanking you,

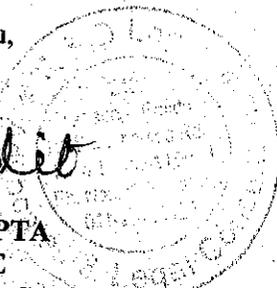
Yours truly,

*Mudit Gupta*

**MUDIT GUPTA  
ADVOCATE**

Place: New Delhi

Date: 01.10.2019



Annexure - A

**Resolution(1)**

Resolution Required: (Ordinary/Special)	Ordinary
Whether promoter/promoter group are Interested in the agenda /resolution?	No
Description of Resolution Considered	To Receive, Consider and adopt the audited Financial Statements of the Company for the Financial Year ended 31 <sup>st</sup> March, 2019, and the Report of the board of Directors and Auditors thereon.

Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.0023	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	2603474	85.357	2603474	0	100	0

Whether Resolution is Pass or Not.	Yes
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Disclosure of notes on Resolution	
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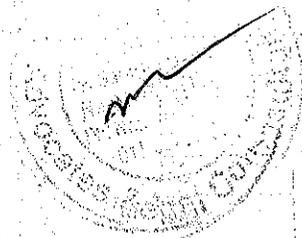
Annexure - B

Resolution(2)								
Resolution Required: (Ordinary/Special)				Ordinary				
Whether promoter/promoter group are Interested in the agenda /resolution?				Yes				
Description of Resolution Considered				To appoint a director in place of Ms. Divya Goyal (DIN: 01995354) who retires by rotation and being eligible, has offered herself for re-appointment.				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)*100	(7)=[(5)/(2)*100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	0	0	0	0	0
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.0023	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	331974	10.884	331974	0	100	0
Whether Resolution is Pass or Not.							Yes	
Disclosure of notes on Resolution								

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## Annexure - C

Resolution(3)								
Resolution Required: (Ordinary/Special)				Ordinary				
Whether promoter/promoter group are Interested in the agenda /resolution?				Yes				
Description of Resolution Considered				Appointment / Re-appointment of Ms. Kiran Goyal (DIN: 00503357) as a Managing Director of the Company for a term of 5 (Five) years with effect from 30.09.2019				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)*100	(7)=[(5)/(2)*100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	0	0	0	0	0
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.002	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	331974	10.884	331974	0	100	0
Whether Resolution is Pass or Not.							Yes	



## Annexure - D

Resolution(4)								
Resolution Required: (Ordinary/Special)				Special				
Whether promoter/promoter group are Interested in the agenda /resolution?				No				
Description of Resolution Considered				Re-appointment of Mr. Ravi Berry (DIN:00468594) as a Non-Executive Independent Director of the Company for a second term of 5 (five) consecutive years w.e.f 30.09.2019				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)* 100	(7)=[(5)/(2)* 100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.002	18	0	100	0
	Poll		325956	41.864	325956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	325974	41.867	325974	0	100
Total		3050100	2597474	85.160	2597474	0	100	0
Whether Resolution is Pass or Not.							Yes	



## Annexure - E

Resolution(5)								
Resolution Required: (Ordinary/Special)				Special				
Whether promoter/promoter group are Interested in the agenda /resolution?				Yes				
Description of Resolution Considered				Re-appointment of Ms. Rekha Cauhan (DIN: 02783776) as a Non – Executive Independent Director of the Company for a second term of 5 (office) consecutive years w.e.f. 30.09.2019.				
Category	Mode of Voting	No. of Shares held	No. of Votes Polled	% of votes polled on outstanding shares	No. of votes - in favour	No. of votes - against	% of votes in favour on votes polled	% of votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	E-Voting	2271500	0	0	0	0	0	0
	Poll		2271500	100	2271500	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		2271500	2271500	100	2271500	0	100
Public Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot		0	0	0	0	0	0
	Total		0	0	0	0	0	0
Public - Non Institutions	E-Voting	778600	18	0.002	18	0	100	0
	Poll		331956	42.635	331956	0	100	0
	Postal Ballot		0	0	0	0	0	0
	Total		778600	331974	42.637	331974	0	100
Total		3050100	2603474	85.357	2603474	0	100	0
Whether Resolution is Pass or Not.							Yes	

Handwritten signature and circular stamp of a legal officer.