

General information about company

| | |
|--|-----------------------|
| Scrip code | 538395 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE792G01011 |
| Name of the company | NAM SECURITIES LTD |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2022 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table VI - Statement showing foreign ownership limits

| Particular | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date | 24 | 0.04 |
| As on the end of previous 1st quarter | 24 | 0.04 |
| As on the end of previous 2nd quarter | 24 | 0.04 |
| As on the end of previous 3rd quarter | 24 | 0.04 |
| As on the end of previous 4th quarter | 24 | 0.04 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| (B) | Public | 675 | 1348000 | | | 1348000 | 25 | 1348000 | | 1348000 | 25 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 680 | 5392000 | | | 5392000 | 100 | 5392000 | | 5392000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | | | | 75 | 1772500 | 43.83 | | | 4044000 | | | |
| (B) | Public | | | | 25 | | | | | 1087850 | 0 | 0 | 0 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | |
| | Total | | | | 100 | 1772500 | 32.87 | | | 5131850 | 0 | 0 | 0 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| Sub-Total (A)(1) | | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | |
| (g) | Resident Individuals holding nominal share capital up to Rs. 2 lakhs | 651 | 429404 | | | 429404 | 7.96 | 429404 | | 429404 | 7.96 |
| (h) | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs | 12 | 550496 | | | 550496 | 10.21 | 550496 | | 550496 | 10.21 |
| (i) | Non Resident Indians (NRIs) | 2 | 2083 | | | 2083 | 0.04 | 2083 | | 2083 | 0.04 |
| (l) | Bodies Corporate | 2 | 317516 | | | 317516 | 5.89 | 317516 | | 317516 | 5.89 |
| (m) | Any Other (specify) | 8 | 48501 | | | 48501 | 0.9 | 48501 | | 48501 | 0.9 |
| Sub-Total (B)(4) | | 675 | 1348000 | | | 1348000 | 25 | 1348000 | | 1348000 | 25 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | 675 | 1348000 | | | 1348000 | 25 | 1348000 | | 1348000 | 25 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 680 | 5392000 | | | 5392000 | 100 | 5392000 | | 5392000 | 100 |
| Total (A+B+C) | | 680 | 5392000 | | | 5392000 | 100 | 5392000 | | 5392000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|--|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | | | |
| (a) | | | | 75 | 1772500 | 43.83 | | | 4044000 | | | | |
| Sub-Total (A) (1) | | | | 75 | 1772500 | 43.83 | | | 4044000 | | | | |
| (2) | Foreign | | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | | | 75 | 1772500 | 43.83 | | | 4044000 | | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | | | |
| (g) | | | | 7.96 | | | | | 169254 | 0 | 0 | 0 | |
| (h) | | | | 10.21 | | | | | 550496 | 0 | 0 | 0 | |
| (i) | | | | 0.04 | | | | | 2083 | 0 | 0 | 0 | |
| (l) | | | | 5.89 | | | | | 317516 | 0 | 0 | 0 | |
| (m) | | | | 0.9 | | | | | 48501 | 0 | 0 | 0 | |
| Sub-Total (B) (4) | | | | 25 | | | | | 1087850 | 0 | 0 | 0 | |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4) | | | | 25 | | | | | 1087850 | 0 | 0 | 0 | |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 1772500 | 32.87 | | | 5131850 | | | | |
| Total (A+B+C) | | | | 100 | 1772500 | 32.87 | | | 5131850 | | | | |

Individuals/Hindu undivided Family

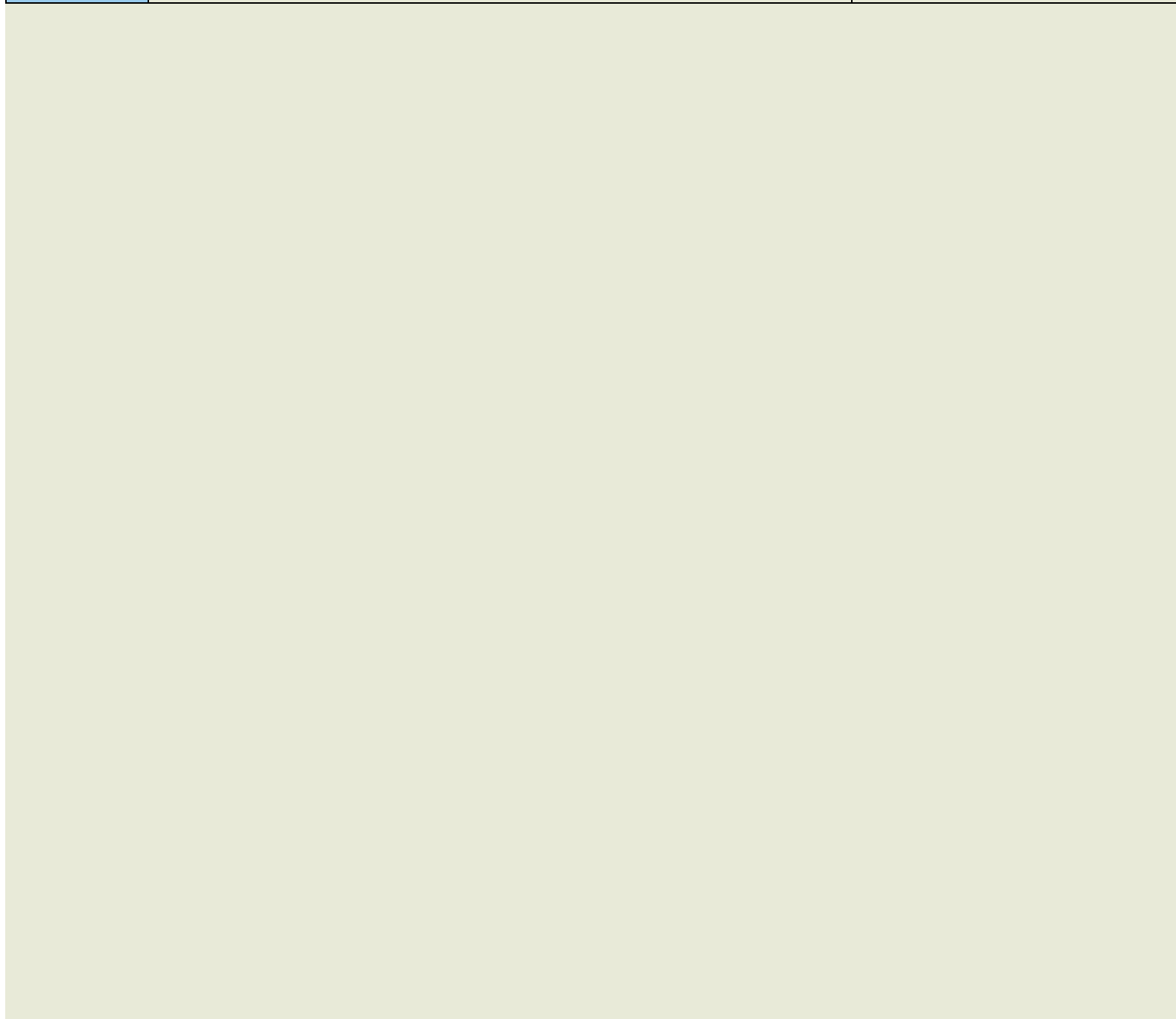
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
|--|---------------|-------------|-----------------|-------------|----------------------------|---------------------------------------|
| Name of the Shareholders (I) | ASHWANI GOYAL | DIVYA GOYAL | DIVYANSHU GOYAL | KIRAN GOYAL | ASHWANI GOYAL & SONS (HUF) | Click here to go back |
| PAN (II) | AACPG7336H | AIHPG3564E | AJMPG2797H | ACYPG9503A | AABHA6452M | Total |
| No. of fully paid up equity shares held (IV) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Class eg:y | | | | | | |
| Total | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Total as a % of Total Voting rights | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| Number of Locked in shares (XII) | | | | | | |

| | | | | | | |
|---|----------|----------|----------------|----------|----------------|---------|
| No. (a) | 404000 | 353000 | 324000 | 374500 | 317000 | 1772500 |
| As a % of total Shares held (b) | 24.86 | 61.39 | 45.06 | 99.87 | 42.27 | 43.83 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter Group | Promoter | Promoter Group | |

Bodies Corporate

| | | |
|--|-----------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | AGILE COMMODITIES PRIVATE LIMITED | Click here to go back |
| PAN (II) | AAACN0802G | Total |
| No. of fully paid up equity shares held (IV) | 317508 | 317508 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 317508 | 317508 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 5.89 | 5.89 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 317508 | 317508 |
| Class eg:y | | |
| Total | 317508 | 317508 |
| Total as a % of Total Voting rights | 5.89 | 5.89 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 5.89 | 5.89 |
| Number of Locked in shares (XII) | | |

| | | |
|---|--------|--------|
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 317508 | 317508 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Sub-categorization of shares | | |
| Shareholding (No. of shares) under | | |
| Sub-category (i) | 0 | 0 |
| Sub-category (ii) | 0 | 0 |
| Sub-category (iii) | 0 | 0 |



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Serial No. | 1 | 2 | 3 | 4 | |
|--|-------------------|---------------|------------|-------------|---------------------------------------|
| Name of the Shareholders (I) | ANU RAVIBHAI GOEL | CHANDAN MEHRA | RAVI BERRY | SAHIL GOHIL | Click here to go back |
| PAN (II) | ANHPG7765Q | ATXPM9898E | AAGPB6468D | ANHPG7766P | Total |
| No. of fully paid up equity shares held (IV) | 85725 | 78557 | 108000 | 63900 | 336182 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 85725 | 78557 | 108000 | 63900 | 336182 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.59 | 1.46 | 2 | 1.19 | 6.23 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 85725 | 78557 | 108000 | 63900 | 336182 |
| Class eg:y | | | | | |
| Total | 85725 | 78557 | 108000 | 63900 | 336182 |
| Total as a % of Total Voting rights | 1.59 | 1.46 | 2 | 1.19 | 6.23 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.59 | 1.46 | 2 | 1.19 | 6.23 |
| Number of Locked in shares (XII) | | | | | |

| | | | | | |
|---|-------|-------|--------|-------|--------|
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 85725 | 78557 | 108000 | 63900 | 336182 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |
| Sub-categorization of shares | | | | | |
| Shareholding (No. of shares) under | | | | | |
| Sub-category (i) | 0 | 0 | 0 | 0 | 0 |
| Sub-category (ii) | 0 | 0 | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 | 0 | 0 |

