

| General information about company | |
|--|------------------------|
| Scrip code | 538395 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE792G01011 |
| Name of the company | NAM SECURITIES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2021 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | Yes |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|--------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | Yes | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|--|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 5 | 4044000 | 0 | 0 | 4044000 | 75 | 4044000 | 0 | 4044000 | 75 |
| (B) | Public | 613 | 1348000 | 0 | 0 | 1348000 | 25 | 1348000 | 0 | 1348000 | 25 |
| (C) | Non Promoter-Non Public | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| (C2) | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 618 | 5392000 | 0 | 0 | 5392000 | 100 | 5392000 | 0 | 5392000 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | 0 | 0 | 0 | 75 | 1772500 | 43.8304 | 0 | 0 | 4044000 |
| (B) | Public | 0 | 0 | 0 | 25 | 569400 | 42.2404 | | | 1078050 |
| (C) | Non Promoter-Non Public | 0 | 0 | 0 | | 0 | 0 | | | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | | 0 | 0 | | | 0 |
| (C2) | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| | Total | 0 | 0 | 0 | 100 | 2341900 | 43.4329 | 0 | 0 | 5122050 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|-------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| Sub-Total (A)(1) | | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 5 | 4044000 | | | 4044000 | 75 | 4044000 | | 4044000 | 75 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 576 | 493553 | | | 493553 | 9.15 | 493553 | | 493553 | 9.15 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 21 | 694087 | | | 694087 | 12.87 | 694087 | | 694087 | 12.87 |
| (e) | Any Other (specify) | 16 | 160360 | | | 160360 | 2.97 | 160360 | | 160360 | 2.97 |
| Sub-Total (B)(3) | | 613 | 1348000 | | | 1348000 | 25 | 1348000 | | 1348000 | 25 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 613 | 1348000 | | | 1348000 | 25 | 1348000 | | 1348000 | 25 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 618 | 5392000 | | | 5392000 | 100 | 5392000 | | 5392000 | 100 |
| Total (A+B+C) | | 618 | 5392000 | | | 5392000 | 100 | 5392000 | | 5392000 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 75 | 1772500 | 43.83 | | | 4044000 |
| Sub-Total (A)(1) | | | | 75 | 1772500 | 43.83 | | | 4044000 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 75 | 1772500 | 43.83 | | | 4044000 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 9.15 | 15093 | 3.06 | | | 223603 |
| (a(ii)) | | | | 12.87 | 505307 | 72.8 | | | 694087 |
| (e) | | | | 2.97 | 49000 | 30.56 | | | 160360 |
| Sub-Total (B)(3) | | | | 25 | 569400 | 42.24 | | | 1078050 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 25 | 569400 | 42.24 | | | 1078050 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 2341900 | 43.43 | | | 5122050 |
| Total (A+B+C) | | | | 100 | 2341900 | 43.43 | | | 5122050 |

| Individuals/Hindu undivided Family | | | | | | |
|--|---------------|-------------|-----------------|-------------|----------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | Ashwani Goyal | Divya Goyal | Divyanshu Goyal | Kiran Goyal | Ashwani Goyal & Sons | Click here to go back |
| PAN (II) | AACPG7336H | AIHPG3564E | AJMPPG2797H | ACYPG9503A | AABHA6452M | Total |
| No. of fully paid up equity shares held (IV) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Class eg:y | | | | | | |
| Total | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Total as a % of Total Voting rights | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 30.14 | 10.66 | 13.33 | 6.95 | 13.91 | 75 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | 404000 | 353000 | 324000 | 374500 | 317000 | 1772500 |
| As a % of total Shares held (b) | 24.86 | 61.39 | 45.06 | 99.87 | 42.27 | 43.83 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1625000 | 575000 | 719000 | 375000 | 750000 | 4044000 |
| Reason for not providing PAN | | | | | | |

| | | | | | | |
|------------------------------|----------|----------|----------------|----------|----------------|--|
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter Group | Promoter | Promoter Group | |

| Any Other (specify) | | | | | | |
|--|------------------|------------------|---------------------------|----------|--|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | Clearing Members | Non-Resident Indian (NRI) | HUF | HUF | |
| Category / More than 1 percentage | Category | Category | Category | Category | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | | | | | Vinit Gupta HUF | Click here to go back |
| PAN (II) | | | | | AADHV2505G | Total |
| No. of the Shareholders (I) | 1 | 2 | 2 | 11 | 1 | 16 |
| No. of fully paid up equity shares held (IV) | 5 | 796 | 2149 | 157410 | 56800 | 160360 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 5 | 796 | 2149 | 157410 | 56800 | 160360 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0.01 | 0.04 | 2.92 | 1.05 | 2.97 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 5 | 796 | 2149 | 157410 | 56800 | 160360 |
| Class eg:y | | | | | | |
| Total | 5 | 796 | 2149 | 157410 | 56800 | 160360 |
| Total as a % of Total Voting rights | 0 | 0.01 | 0.04 | 2.92 | 1.05 | 2.97 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | 0.01 | 0.04 | 2.92 | 1.05 | 2.97 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | 0 | 0 | 0 | 49000 | 0 | 49000 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 31.13 | 0 | 30.56 |
| Number of equity shares held in dematerialized form (XIV) | 5 | 796 | 2149 | 157410 | 56800 | 160360 |

| Reason for not providing PAN | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |

significant beneficial owners

| Details of the SBO | | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | | Date of acquisition of significant influence |
|--------------------|------------|--|-------------|---|---------------------------------|------------|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|--|
| Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | | |
| | | | | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |
| Ashwani Goyal | AACPG7336H | | India | | Ashwani Goyal & Sons HUF | AABHA6452M | | India | | 13.91 | 0 | 0 | Yes | Yes | 20-1: |
| Total: | | | | | | | | | | 13.91 | 0 | 0 | | | |