



# NAM SECURITIES LTD.

MEMBER : NSE (CM & FO & SX Segments)  
F & O Clearing, BSE, DSE, OTC DP : NSDL

Regd. Office : 213, Arunachal, 19, Barakhamba Road,  
New Delhi - 110001, Phones: 23731122, 23731144  
Fax: 23730810 Email: namsecurities@yahoo.com

Date: 14/02/2017

The Manager  
Listing Department  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001

Dear Sir,

Sub: Filing of statutory reports under the listing agreement for the quarter and nine months ended 31<sup>st</sup> December, 2016

In compliance to requirements of the listing agreement, please find enclosed herewith the unaudited financial results for the quarter and nine months ended 31<sup>st</sup> December, 2016

1. Quarterly Results for the qtr. and nine months ended 31.12.2016
2. Limited Review Report for the qtr. ended 31.12.2016

Please acknowledge the same and oblige.

Thanking you,

Yours truly,

For Nam Securities Ltd.

  
(Kiran Goyal)  
Managing Director

DIN-00503357

Encl.: as below



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14<sup>th</sup> February, 2017

The Manager  
Listing Department  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001

**Ref: Scrip Code: 538395**

Dear Sir,

**Sub: Outcome of the meeting of the Board Of Directors Of Nam Securities Limited**

This is to inform you that the Board of directors of the Company, at their meeting held on 14.02.2017 at 4PM, has amongst other matters, approved the un-audited financial results of the Company for the quarter and nine months ended 31<sup>st</sup> December, 2016, subject to limited review report of the Statutory Auditors of the Company.

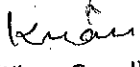
The said financial results for the quarter and nine months ended 31<sup>st</sup> December, 2016 along with the Limited Review Report of the Statutory Auditors of the Company are enclosed herewith.

Kindly take the same on records and oblige.

Thanking You,

Yours faithfully,

For Nam Securities Limited

  
(Kiran Goyal)  
Managing Director  
DIN - 00503357



Encl: as above

# **RAJ K SRI & CO.,**

Chartered Accountants

202a, Arunachal Building, Barakhamba Road, New Delhi-110001

Tel:011-41511319

## **LIMITED REVIEW REPORT**

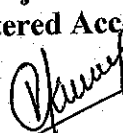
To,  
The Board of Director  
Nam Securities limited

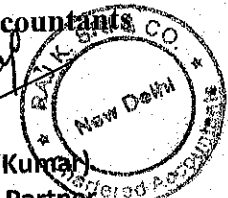
1. We have reviewed the accompanying statement of unaudited financial results of NAM SECURITIES LTD for the quarter and nine months ended 31<sup>st</sup> December, 2016, attached herewith, being submitted by the company pursuant to the requirement of Regulation 33 of the SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015. This statement is the responsibility of the Company's management and has been approved by the Board of Directors/Committee of Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.
2. We conducted our review in accordance with the Standard on Review Engagement (SRE) 2410, "Review of Interim Financial Information Performed by the Independent Auditors Of the entity" issued by the Institute of Chartered Accountants of India. Those standards require that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material mis-statement. A review is limited primarily to inquiries of company personnel and analytical procedure applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.
3. Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results prepared in accordance with applicable Accounting Standards and other recognized accounting practices and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI(Listing obligations and Disclosure Requirements) Regulation,2015 including the manner in which it is to be disclosed, or that it contains any material misstatement."

Place: New Delhi

Date: 14/02/2017

For Raj K. Sri & Co  
Chartered Accountants

  
(Vivek Kumar)  
Partner



Mem no. 528140

**UNAUDITED FINANCIAL RESULTS FOR THE QUARTER AND NINE MONTHS ENDED 31<sup>st</sup> DECEMBER, 2016**

Amount in Rs. (Lacs)

**PART-I**

Sr. No.	Particulars	Quarter Ended 31.12.16 (Unaudited)	Quarter Ended 30.09.16 (Unaudited)	Quarter Ended 31.12.15 (Unaudited)	Nine Months Ended 31.12.16 (Unaudited)	Nine Months Ended 31.12.15 (Unaudited)	Year Ended 31.03.16 (Audited)
1.	<b>Income from Operations</b>						
	Net Sales/Income from operations	51.55	56.14	55.37	155.26	131.43	159.96
	<b>Total Income from operations (net)</b>	51.55	56.14	55.37	155.26	131.43	159.96
2.	<b>Expenses</b>						
	a) Cost of Shares sold	31.92	30.98	37.08	88.00	47.84	77.44
	a) Employees benefit expenses	4.76	3.61	5.11	13.02	13.94	18.34
	b) Depreciation & amortization expense	3.00	3.00	2.60	9.00	7.10	10.42
	c) Other Expenses Incl. Investment cost	4.57	8.97	5.88	19.64	34.10	35.18
	<b>Total Expenses</b>	44.25	46.56	50.67	129.66	102.98	141.38
3.	<b>Profit from operation before other income, finance cost &amp; exceptional items (1-2)</b>	7.30	9.58	4.70	25.60	28.45	18.58
4.	Other Income	-	-	-	-	-	-
5.	<b>Profit/ (Loss) from ordinary activities before finance costs &amp; exceptional items (3+4)</b>	7.30	9.58	4.70	25.60	28.45	18.58
6.	Finance Costs	0.06	-	-	0.26	0.52	0.57
7.	<b>Profit/ (Loss) from ordinary activities after finance costs but before exceptional items &amp; tax (5-6)</b>	7.24	9.58	4.70	25.34	27.93	18.01
8.	Exceptional items	--	-	-	--	-	-
9.	<b>Profit/(Loss) from Ordinary Activities before tax (7-8)</b>	7.24	9.58	4.70	25.34	27.93	18.01
10.	Tax Expenses	1.25	1.25	1.00	4.25	3.25	3.30
11.	<b>Net profit/(Loss) from ordinary activities after exceptional item &amp; tax (9-10)</b>	5.99	8.33	3.70	21.09	24.68	14.71
12.	Extraordinary items (net of tax expense)	--	-	-	--	-	-
13.	<b>Net profit/(Loss) after tax and exceptional</b>	5.99	8.33	3.70	21.09	24.68	14.71

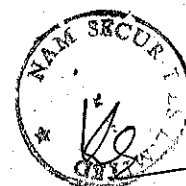


items (11+12)							
14.	Paid up Eq. share capital (FV Rs. 10/- each)	305.01	305.01	305.01	305.01	305.01	305.01
15.	Reserves excluding Revaluation Reserves as per B/S of Previous Year	150.00	144.01	139.72	150.00	139.72	129.00
16.	Earnings Per Share (in Rs.)Basic/ Diluted	0.20	0.27	0.12	0.69	0.81	0.48

**PART II -SELECT INFORMATION FOR THE QUARTER AND SIX MONTHS ENDED 31<sup>ST</sup> DECEMBER,2016**

Sr. No.	Particulars	Quarter Ended 31.12.16	Quarter Ended 30.09.16	Quarter Ended 31.12.15	Nine Months Ended 31.12.16	Nine Months Ended 31.12.15	Year Ended 31.03.16
<b>A</b>	<b>PARTICULARS OF SHAREHOLDING</b>						
1.	Total Public Shareholding -No of Shares -Percentage of Shareholding	778600 25.53	778600 25.53	778600 25.53	778600 25.53	778600 25.53	778600 25.53
2.	Promoters and Promoter Group Share holding a)Pledged /Encumbered b)Non-encumbered -Number of Shares -Percentage of shares (as a % of total shareholding of promoter and promoter group) -Percentage of Shares(as a % of total share capital of the company)	NIL 2271500 100 74.47	NIL 2271500 100 74.47	NIL 2271500 100 74.47	NIL 2271500 100 74.47	NIL 2271500 100 74.47	NIL 2271500 100 74.47
<b>B</b>	<b>INVESTOR COMPLAINTS FOR QUARTER ENDED 31<sup>ST</sup> DECEMBER, 2016</b>						
	Pending at the beginning of the quarter	NIL					
	Received during the quarter	NIL					
	Disposed off during the quarter	NIL					
	Remaining Unresolved at the end of the Quarter	NIL					

- Note:**1) The above results were reviewed by the Audit Committee and approved by the Board of Directors in their meeting held on the 14<sup>th</sup> February, 2017 and have undergone "Limited Review" by Statutory Auditors of the company.
- 2) In accordance with relevant Clause(s) of the Listing Agreement, the company has published financial results, Profit before tax and Profit after tax and exceptional item for the Q3 FY-2016-17 is as stated in the table above. The financial results will, however, be made available to the Stock Exchange i.e. BSE Ltd. where the company's securities are listed and will also be posted on the company's website [www.namsecurities.in](http://www.namsecurities.in)
- 3) Previous period/year's figures have been re-grouped wherever necessary.
- 4) In accordance with "Green Initiative in Corporate Governance" by the Ministry of Corporate Affairs, Company will be sending future communications through electronic mode. All those members who have not got their mail



id recorded or updated with the company or with the depository are requested to register their e-mail address and changes therein with company/depository as the case may be.

5) Depreciation has been charged in accordance with provisions of schedule II of Companies Act 2013.

6) Tax provisions for the quarter have not been recognized & will be considered at the end of the FY.

7) The company operates in only one segment i.e., Stock Market Operations, hence, Segment reporting under AS-17 issued by ICAI is not applicable to the company.

8) The Unaudited statement of assets and liabilities is as under:

<b>Statement of Assets and Liabilities as on 31<sup>st</sup> December, 2016 (in Rs.)</b>		
<b>Particulars</b>	<b>As on 31.12.2016 (Unaudited)</b>	<b>As on 31.03.2016 (Audited)</b>
<b>I. EQUITY AND LIABILITIES</b>		
<b>(1) Shareholder's Funds</b>		
(a) Share Capital	30501000	30501000
(b) Reserves and Surplus	15000262	12900262
<b>Sub-Total-Shareholder's Funds</b>	<b>45501262</b>	<b>43401262</b>
<b>(2) Minority Interest</b>		
<b>(3) Non-Current Liabilities</b>		
(a) Long-term borrowings		
(b) Deferred Tax Liabilities(Net)	496415	496415
(c) Other Long Term Liabilities	--	-
(d) Long Term Provisions	--	-
<b>Sub-Total-Non-Current Liabilities</b>	<b>496415</b>	<b>496415</b>
<b>(4) Current Liabilities</b>		
(a) Short Term Borrowings	--	-
(b) Trade payables	1235610	1089629
(c) Other Current Liabilities	1065315	841353
(d) Short Term Provisions	--	-
<b>Sub-Total-Current Liabilities</b>	<b>2300925</b>	<b>1930982</b>




<b>TOTAL-EQUITY AND LIABILITIES</b>	48298602	45828659
<b>II.ASSETS</b>		
<b>(1) Non-current assets</b>		
(a) Fixed assets		
I Tangible Assets	7788572	6257281
II Intangible Assets	Nil	NIL
(b) Non-current investments	10979000	10979000
<b>Sub-Total-Non Current Assets</b>	18767572	17236281
<b>(2) Current assets</b>		
(a) Inventories	11669094	12164915
(b) Trade Receivables	4050170	3917752
(c) Cash & Bank Balance	11970616	10813160
(d) Other current Assets	1841150	1696551
<b>Sub-Total-Current Assets</b>	29531030	28592378
<b>(3) Other misc. expenditure (to the extent not written off)</b>	NIL	NIL
<b>TOTAL ASSETS</b>	48298602	45828659

Date: 14.02.2017

Place: Gurgaon

For NAM SECURITIES LTD.

  
 (Kishan Goyal)

Managing Director (DIN: 00503357)