

Quarterly Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company: Nam Securities Ltd.

Quarter Ending On : 31st March, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
II Board of Directors	49 (II)		
(A) Composition of Board	49 (II A)	YES	
(B) Independent Directors	49 (II B)	YES	
(C) Non-executive Directors' compensation & disclosures	49 (II C)	YES	
(D) Other Provisions as to Board and Committees	49 (II D)	YES	
(E) Code of Conduct	49 (II E)	YES	
(F) Whistle Blower Policy	49 (II F)	YES	
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49 (III A)	YES	
(B) Meeting of Audit Committee	49 (III B)	YES	
(C) Powers of Audit Committee	49 (III C)	YES	
(D) Role of Audit Committee	49 (III D)	YES	
(E) Review of Information by Audit Committee	49 (III E)	YES	
IV. Nomination & Remuneration Committee	49(IV)	YES	
V. Subsidiary Companies	49 (V)	NA	There is no subsidiary
VI. Risk Management	49 (VI)	YES	
VII. Related Party Transactions	49 (VII)	YES	
VIII. Disclosures	49 (VIII)		
(A) Related Party Transactions	49 (VIII A)	YES	
(B) Disclosure of Accounting Treatment	49 (VIII B)	YES	
(C) Remuneration of Directors	49 (VIII C)	YES	
(D) Management	49 (VIII D)	YES	
(E) Shareholders	49 (VIII E)	YES	
(F) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (VIII F)	Not Applicable	No issue made during quarter ended 31.03.2015.
IX. CEO/CFO Certification	49 (IX)	YES	To be incorporated in Annual Report for 2014-15
X. Report on Corporate Governance	49 (X)	YES	
XI. Compliance	49 (XI)	YES	

PLACE: Gurgaon
DATE : 11.04.2015

For Nam Securities Ltd.

Auth. Signatory/Director