

## (I)(a) Statement Showing Shareholding Pattern

**NAM SECURITIES LTD.**

| Name of the Company: |   | Nam Securities                  |                        | MEMBER : NSE (CM & FO & SX Segments)         |   |   |
|----------------------|---|---------------------------------|------------------------|--|---|---|
| Scrip Code:          |   | 538395                          |                        | F & O Clearing, BSE, MCX, DSE DP : NSDL      |   |   |
| Quarter ended:       |   | 31 <sup>st</sup> December, 2014 |                        | F & O Clearing, BSE, MCX, DSE DP : NSDL      |   |   |
| Category Code        | Category of Shareholder   | Number of Shareholder           | Total number of Shares | Number of Shares held in Dematerialized Form | Total Shareholding as a percentage of (A+B) | Total Shareholding as a percentage of (A+B+C) |
| (I)                  | (II)  | (III)                           | (IV)                   | (V)  | (VI)  | (VII)   |
| (A)                  | Shareholding of Promoter and Promoter Group <sup>2</sup>          |                                 |                        |  |   |   |
| (1)                  | Indian  |                                 |                        |  |   |   |
| (a)                  | Individual/Hindu Undivided Family                                 | 5                               | 2271500                | 2271500                                      | 74.47%                                      | 74.47%  |
| (b)                  | Central Government/State Government                               | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (c)                  | Bodies Corporate  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (d)                  | Financial Institutions/Banks                                      | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (e)                  | Any Other (specify)   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
|                      | Sub-Total (A)(1)  | 5                               | 2271500                | 2271500                                      | 74.47%                                      | 74.47%  |
| (2)                  | Foreign   |                                 |                        |  |   |   |
| (a)                  | Individuals (Non-Resident Individuals/ Foreign Individuals)       | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (b)                  | Bodies Corporate  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (c)                  | Institutions  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (d)                  | Qualified Foreign Investor  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (e)                  | Any other (specify)   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
|                      | Sub Total (A)(2)  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
|                      | Total Shareholding of Promoter & Promoter Group (A)=(A)(1)+(A)(2) | 5                               | 2271500                | 2271500                                      | 74.47%                                      | 74.47%  |
| (B)                  | Public Shareholdings  |                                 |                        |  |   |   |
| (1)                  | Institutions  |                                 |                        |  |   |   |
| (a)                  | Mutual Funds/UTI  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (b)                  | Financial Institutions/Banks                                      | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (c)                  | Central Government/state Government(s)                            | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (d)                  | Venture Capital Funds   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (e)                  | Insurance Companies   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (f)                  | Foreign Institutional Investors                                   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (g)                  | Foreign Venture Capital Investors                                 | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (h)                  | Qualified foreign Investor  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (i)                  | Any Other (specify)   | Nil                             | Nil                    | Nil  | Nil   | Nil   |
|                      | Sub Total (B)(1)  | Nil                             | Nil                    | Nil  | Nil   | Nil   |
| (2)                  | Non-Institutions  |                                 |                        |  |   |   |
| (a)                  | Bodies Corporate  | 9                               | 11963                  | 11963  | 0.39%                                       | 0.39%   |
| (b)                  | Individuals   |                                 |                        |  |   |   |
|                      | i. Individuals shareholders                                       | 569                             | 461412                 | 139312                                       | 15.13%                                      | 15.13%  |



|     |     |  |     |         |         |        |        |
|-----|-----|--|-----|---------|---------|--------|--------|
|     | ii. | holding nominal share capital upto Rs. 1 lakh<br>Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh | 5   | 185200  | 185200  | 6.07%  | 6.07%  |
| (c) |     | Qualified Foreign Investor   | Nil | Nil     | Nil     | Nil    | Nil    |
| (d) |     | Any other (specify)  |     |         |         |        |        |
|     |     | NRI  | 1   | 205     | 205     | 0.01%  | 0.01%  |
|     |     | Clearing Members   | 0   | 0       | 0       | 0.00%  | 0.00%  |
|     |     | HUF  | 11  | 119820  | 119820  | 3.93%  | 3.93%  |
|     |     | Sub-Total (B)(2)   | 595 | 778600  | 456500  | 25.53% | 25.53% |
|     |     | Total Public Shareholding (B)- (B)(1)+(B)(2)   | 595 | 778600  | 456500  | 25.53% | 25.53% |
|     |     | Total (A)+(B)  | 600 | 3050100 | 2728000 | 100%   | 100%   |
| (C) |     | Shares held by Custodians and against which Depository Receipts have been issued   |     |         |         |        |        |
| 1.  |     | Promoter and Promoter Group  | Nil | Nil     | Nil     | Nil    | Nil    |
| 2.  |     | Public   | Nil | Nil     | Nil     | N.A.   | Nil    |
|     |     | Grand Total(A)+(B)+(C)   | 600 | 3050100 | 2728000 | N.A.   | 100%   |

(1)(b) Statement showing shareholding of persons belonging to the category "promoter and promoter group"

| Sr. No. | Name of the shareholder   | No. of shares | Share as a % of total number of share (i.e. GRAND TOTAL (A)+(B)+(C) indicated in Statement at para (1)(a) above) |
|---------|---------------------------|---------------|--|
| 1.      | Ashwani Goyal             | 1221000       | 40.03%   |
| 2.      | Kiran Goyal               | 500           | 00.02%   |
| 3.      | Divya Goyal               | 222000        | 7.27%  |
| 4.      | Divyanshu Goyal           | 395000        | 12.95%   |
| 5.      | Ashwani Goyal & Sons(HUF) | 433000        | 14.20%   |
| 6.      | Total                     | 2271500       | 74.47%   |

(1)(c) Statement showing shareholding of persons belonging to category "public" & holding more than 1% of total no. of s

| Pr. No. | Name of the shareholder | No. of shares | Share as a % of total number of share ( i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above ) |
|---------|-------------------------|---------------|--|
| 1.      | Sahil Gohil             | 63900         | 2.10   |
| 2.      | Vinit Gupta             | 56800         | 1.86   |
| 3.      | Shashi Gupta            | 48100         | 1.58   |
| 4.      | Aarti Gupta             | 44700         | 1.47   |
|         | Total                   | 213500        | 7.00   |

(1)(d) Statement showing detail of locked-in shares

| Sr. No. | Name of the shareholder | No. of locked-in shares | Locked-in shares as a % of total number of shares ( i.e. GRAND TOTAL (A)+(B)+(C) indicated in Statement at para (1)(a) above) |
|---------|-------------------------|-------------------------|---|
| 1.      | Nil                     | Nil                     | Nil   |
|         | Total                   | Nil                     | Nil   |



**(1)(e) Statement showing detail of Depository Receipts (DRs)**

| Sr. No. | Type of outstanding DR (ADRs), GDRs, SDRs, etc.) | No. of outstanding DRs | No. of share underlying outstanding DRs | Shares underlying outstanding DRs as a % of total number of shares (i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above) |
|---------|--|------------------------|---|---|
| 1.      | Nil  | Nil                    | Nil                                     | Nil   |
|         | <b>Total</b>                                     | Nil                    | Nil                                     | Nil   |

**(II)(b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of total no. of shares**

| Sr. No. | Name of DR holder | Type of outstanding DR(ADRs, GDR/SDRsetc. | No. of share underlying outstanding DRs | Shares underlying outstanding DRs as a % of total number of shares (i.e. GRAND TOTAL (A)+(B)+(C) indicated in statement at para (1)(a) above) |
|---------|-------------------|---|---|---|
| 1.      | Nil               | Nil                                       | Nil                                     | Nil   |
|         | <b>Total</b>      | Nil                                       | Nil                                     | Nil   |

Place: Gurgaon  
Dated: 12.01.2015

For: Nam Securities Ltd.

*Kiran Goyal*  
Director

