



NAM SECURITIES LTD.

MEMBER : NSE (CM & FO & SX Segments)
F & O Clearing, BSE, MCX, DSE DP : NSDL

Quarterly Corporate Governance under Clause 49 of the Listing Agreement
Corp. Office - LGF, 410, Sector-31, Gurgaon, Haryana, Barakhamba Road,
New Delhi - 110001, Phones: 23731122, 23731144
Fax: 23730810 Email: nammf@yahoo.com

Name of the Company: Nam Securities Ltd.
Quarter Ending On : 31st December, 2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
II Board of Directors	49 (II)		
(A) Composition of Board	49 (II A)	YES	
(B) Independent Directors	49 (II B)	YES	
(C) Non-executive Directors' compensation & disclosures	49 (II C)	YES	
(D) Other Provisions as to Board and Committees	49 (II D)	YES	
(E) Code of Conduct	49 (II E)	YES	
(F) Whistle Blower Policy	49 (II F)	YES	
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49 (III A)	YES	
(B) Meeting of Audit Committee	49 (III B)	YES	
(C) Powers of Audit Committee	49 (III C)	YES	
(D) Role of Audit Committee	49 (III D)	YES	
(E) Review of Information by Audit Committee	49 (III E)	YES	
IV. Nomination & Remuneration Committee	49(IV)	YES	
V. Subsidiary Companies	49 (V)	NA	There is no subsidiary
VI. Risk Management	49 (VI)	YES	
VII. Related Party Transactions	49 (VII)	YES	
VIII. Disclosures	49 (VIII)		
(A) Related Party Transactions	49 (VIII A)	YES	
(B) Disclosure of Accounting Treatment	49 (VIII B)	YES	
(C) Remuneration of Directors	49 (VIII C)	YES	
(D) Management	49 (VIII D)	YES	
(E) Shareholders	49 (VIII E)	YES	
(F) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (VIII F)	NA	There are no such issues.
IX. CEO/CFO Certification	49 (IX)		Since, annual closing on 31 st March, 2015. Hence not required during the intervening quarter.
X. Report on Corporate Governance	49 (X)		
XI. Compliance	49 (XI)		

PLACE: Gurgaon
DATE : 12.01.2015



For Nam Securities Ltd.

Kiran Goyal
Auth. Signatory/Director